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US PATENT & TRADEMARK
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FAX COVER SHEET

Fax Number : 703-308-5077
To : Latrice Sims
Of : US Patent & Trademark Refunds Division
From : Inga Hildreth
Re : Refund
Our Ref : M1971-97
Your Ref : 09/912,449
Date : October 23, 2001

Total Number of Pages,
Including This One : 7

Message : Dear Ms Sims:
Enclosed is a copy of a Filing Receipt indicating the Filing Fee Rec'd in the amount of \$870. That is incorrect the fee rec'd should be \$840 since I sent a Response to Missing Parts on September 25, 2001, prior to the October 1, 2001 price increase date. A copy of the Response to Missing Parts of Application is enclosed indicating the Mail

IF YOU DO NOT RECEIVE ALL PAGES, PLEASE TELEPHONE US IMMEDIATELY AT (914) 667-6755

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Certified date of September 25, 2001. Also enclosed is a copy of our Deposit Account Statement indicating the charge of \$30. Please credit our Deposit Account No. 13-4550 for the amount of \$30.00. If it is at all possible please issue us a new Filing Receipt.

If you need to speak with me, you can contact me between the hours of 9:30am and 4:00pm Monday thru Friday.

Thank you for your assistance.

Inga Hildreth
(914)667-6755



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/912,449	07/25/2001	1773	870	M1971-97	1	20	1

MORRISON LAW FIRM
145 North Fifth Avenue
Mt. Vernon, NY 10550

S/B \$840. —

OCT 1 2001

CONFIRMATION NO. 4449

UPDATED FILING RECEIPT



OC000000006894262

Date Mailed: 10/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Youich Tei, Nagano, JAPAN;
Syoji Sakaguchi, Nagano, JAPAN;
Katsunori Suzuki, Nagano, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-224644 07/25/2000

If Required, Foreign Filing License Granted 08/30/2001

Projected Publication Date: 01/31/2002

Non-Publication Request: No

Early Publication Request: No

Title

Method of drying thermoplastic norbornene resin, the substrate for magnetic recording media using the thermoplastic norbornene resin, the magnetic recording medium using the substrate, and the method of manufacturing the magnetic recording medium

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulation , 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s) : Youich TEI et al.
Serial No. : 09/912,449
File Date : July 25, 2001
Title : METHOD OF DRYING THERMOPLASTIC NORBORNENE RESIN,
THE SUBSTRATE FOR MAGNETIC RECORDING MEDIA USING
THE THERMOPLASTIC NORBORNENE RESIN, THE MAGNETIC
RECORDING MEDIUM USING THE SUBSTRATE, AND
THE METHOD OF MANUFACTURING THE MAGNETIC
RECORDING MEDIUM

Art Unit : 1773

Examiner:

Docket No. : M1971-97

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : September 25, 2001
By : Inga Hildreth
Signature : *Inga Hildreth*

Hon. Assistant Commissioner for Patents
Box: Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application dated August 31, 2001, enclosed herewith please find the following:

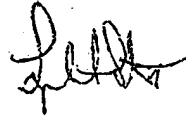
- * Declaration and Power of Attorney;
- * Copy of Notice to File Missing Parts of Nonprovisional Application;
- * Check for \$840 to cover the large entity filing fee (\$710) and late filed Declaration surcharge (\$130).

In addition, enclosed is a copy of the filing receipt received on September 6, 2001.
Please correct the first inventors name to read **Youich**, and also correct in the Title, 2nd line the word "magenetic" to "**magnetic**".

Please charge any deficiencies or credit any overpayments to our Deposit Account No. 13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A Filing Receipt is respectfully requested.

Respectfully Submitted,



Lyman H. Smith
Reg. No. 44,342
Agent for Applicant

MORRISON LAW FIRM
145 North Fifth Avenue
Mount Vernon, New York 10550
(914) 667-6755



U.S. Patent and Trademark Office

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Deposit Account Statement

Requested Statement Month: October 2001
 Deposit Account Number: 134550
 Name: MORRISON LAW FIRM
 Attention: MAUREEN PADUANO
 Address: 145 NORTH FIFTH AVENUE
 City: MOUNT VERNON
 State: NY
 Zip: 10550

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/03	63	09909465	M1971-98	102	\$38.00	\$2,482.00
10/03	70	09907650	M2047-18	101	\$50.00	\$2,412.00
10/04	8	09912449		105	\$30.00	\$2,382.00
10/09	84	09891102		105	\$48.00	\$2,336.00
10/11	178	09902534	M1971-93	102	\$4.00	\$2,332.00
10/12	1	09577290	M1971-85	126	\$180.00	\$2,152.00
10/15	180	09878777	M1804-8	203	\$15.00	\$2,137.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$2,500.00	\$363.00	\$.00	\$2,137.00

Please credit account \$30. -

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